



Matthews Asia®

matthewsasia.com

Matthews Asia Funds New Account Application

IMPORTANT INFORMATION ABOUT OPENING A NEW ACCOUNT

To help the U.S. government fight the funding of terrorism and money laundering activities, federal law requires all financial institutions to obtain, verify and record information that identifies persons opening accounts. To comply, we require your name, address, date of birth and government-issued identification number (generally, a Social Security Number) and other information that may help us identify you. We may also ask for copies of related documentation, and we may consult third-party databases to help verify your identity.

If you do not provide us with this information, we will be unable to open your account, and any application that does not include the required information will be rejected and returned to you.

Required information is marked throughout this application with an asterisk (*).

Please complete and sign this application and return it in the enclosed postage-paid envelope.

Use this application to open a **non-retirement** account only. If you wish to open an IRA account, or if you have any questions, please call 800-789-ASIA [2742] between 9:00 a.m. and 7:00 p.m. Eastern Time.

1 Type of Account

Please select only one, then provide the relevant Account Owner Information in Section 2 based on your selection:

Individual or **Joint Account**
(An account owned by one or more people. Joint accounts are registered as "joint tenants with rights of survivorship" unless otherwise indicated.) For an Individual Account, provide information for Owner in Section 2A; for a Joint Account, provide information for both Owner and Joint Owner.

Gift or Transfer to a Minor
(Uniform Gifts or Transfers to Minors Act [UGMA or UTMA]; an account established by irrevocable gift or transfer to a minor and having an adult custodian.) Provide information in Section 2A for Custodian and Minor.

Minor's state of residence: _____

Trust
(Account established to invest assets held in an existing personal trust.) Provide information for all Trustees in Section 2B. **Note:** You must attach copies of the pages of your trust that indicate the name of the trust, trust date, all trustees and their signatures.

Name of Trust* _____

Trust Taxpayer ID No.* _____ Date of Trust Agreement* _____

Beneficiary of Trust* _____

Corporation, Partnership or Other Entity
(An account owned by an entity.) Provide information for all Organization/Entity Representatives in Section 2B. **Note:** You must attach a copy of the documentation required for your entity type, or there may be a delay in establishing the account or account options.

Name of Organization or Entity* _____

Taxpayer ID Number* _____

2 Account Owner Information

If you have an existing Matthews Asia account registered exactly as you would like the new account(s) registered, please provide the following:

Existing Matthews Asia Account Number _____

Fund Name _____

Every person to be registered on the account must provide **all** of the information requested. If there are more than three owners, please attach a separate sheet containing the same information for additional registrants.

A. Individual and Joint Account

Name of Individual Owner or Custodian* _____

Social Security Number or TIN* _____

Date of Birth* _____

Street Address* (No P.O. Boxes) (U.S., U.S. territory or APO/FPO addresses only) _____

City, State, ZIP* _____

E-mail Address _____

Daytime Phone _____ Evening Phone _____

Citizenship:

U.S. Citizen

Resident Alien Country of citizenship: _____

Government-issued ID No. and type (passport, etc.) **Include a photocopy of the ID.**

Account Owner Information (continued)

Name of **Joint Owner or Minor*** (if applicable)

Social Security Number or TIN*

Date of Birth*

Street Address* (No P.O. Boxes) (U.S., U.S. territory or APO/FPO addresses only)

City, State, ZIP*

E-mail Address

Daytime Phone Evening Phone

Citizenship:

U.S. Citizen

Resident Alien Country of citizenship: _____

Government-issued ID No. and type (passport, etc.) **Include a photocopy of the ID.**

Name of **Additional Joint Owner*** (if applicable)

Social Security Number or TIN*

Date of Birth*

Street Address* (No P.O. Boxes) (U.S., U.S. territory or APO/FPO addresses only)

City, State, ZIP*

E-mail Address

Daytime Phone Evening Phone

Citizenship:

U.S. Citizen

Resident Alien Country of citizenship: _____

Government-issued ID No. and type (passport, etc.) **Include a photocopy of the ID.**

For additional account owners, please attach a separate sheet providing the information requested above for each.

B. Trust and Other Entity Account

Name of **Trust, Corporation, Partnership or Other Entity***

TIN*

Date of Formation* State or Country of Formation*

Street Address* (No P.O. Boxes) (U.S., U.S. territory or APO/FPO addresses only)

City, State, ZIP*

Name and Title of Trustee or Entity Representative

SSN/TIN of Trustee or Entity Representative

Street Address* (No P.O. Boxes) (U.S., U.S. territory or APO/FPO addresses only) (if different from above)

City, State, ZIP*

E-mail Address

Daytime Phone Evening Phone

Name and Title of **Co-Trustee or Entity Representative*** (if applicable)

SSN/TIN of Trustee or Entity Representative*

Street Address* (No P.O. Boxes) (U.S., U.S. territory or APO/FPO addresses only)

City, State, ZIP*

E-mail Address

Daytime Phone Evening Phone

Name and Title of **Additional Co-Trustee or Entity Representative*** (if applicable)

SSN/TIN of Trustee or Entity Representative*

Street Address* (No P.O. Boxes) (U.S., U.S. territory or APO/FPO addresses only)

City, State, ZIP*

E-mail Address

Daytime Phone Evening Phone

For additional Trustees or Entity Representatives, please attach a separate sheet providing the information requested above for each.

Remember that information is required in all fields marked with an asterisk (*).

If information in any of these fields is not provided, your application will be rejected and returned to you for completion.

3 Mailing Address

If you would like your statements, shareholder reports and other Fund communications mailed to a different address than the one provided in Section 2, provide it below:

Mailing Address or P.O. Box

City, State, ZIP

I would like my shareholder reports and prospectuses delivered electronically. I understand that by choosing this option I may be waiving my right to receive paper copies.

E-mail address

You will receive an e-mail that directs you to electronically confirm this election for electronic delivery of shareholder reports and prospectuses.

7 Automatic Investment Plan

This service, using the Automated Clearing House (ACH) system, allows you to automatically invest monthly from your bank account to your Matthews Asia account(s). To establish this feature, you must meet the minimum initial investment of \$2,500 per fund. Please specify below the amount you would like deducted from your bank account on a monthly basis and **attach a voided check from your checking account or a deposit slip from your savings account.**

Note: \$100 monthly minimum per Fund.

This plan normally becomes active 10 business days after your application is processed.

ASIA GROWTH AND INCOME STRATEGIES

- Matthews Asian Growth and Income Fund (801) \$ _____
- Matthews Asia Dividend Fund (809) \$ _____
- Matthews China Dividend Fund (811) \$ _____

ASIA GROWTH STRATEGIES

- Matthews Asia Pacific Fund (807) \$ _____
- Matthews Pacific Tiger Fund (802) \$ _____
- Matthews China Fund (804) \$ _____
- Matthews India Fund (808) \$ _____
- Matthews Japan Fund (805) \$ _____
- Matthews Korea Fund (803) \$ _____

ASIA SMALL COMPANY STRATEGY

- Matthews Asia Small Companies Fund (810) \$ _____

ASIA SPECIALTY STRATEGY

- Matthews Asia Science and Technology Fund (806) \$ _____

Please invest the above amount(s) from my:

- checking account or savings account

on the 10th 15th or 20th of each month

If the investment date falls on a day the NYSE is not open for business, the investment date will occur on the next day the NYSE is open for business. If you do not select a date, the 20th of the month will be used as the investment date.

4 Investment Selection

(Minimum initial investment: \$2,500 per fund)

ASIA GROWTH AND INCOME STRATEGIES

- Matthews Asian Growth and Income Fund (801) \$ _____
- Matthews Asia Dividend Fund (809) \$ _____
- Matthews China Dividend Fund (811) \$ _____

ASIA GROWTH STRATEGIES

- Matthews Asia Pacific Fund (807) \$ _____
- Matthews Pacific Tiger Fund (802) \$ _____
- Matthews China Fund (804) \$ _____
- Matthews India Fund (808) \$ _____
- Matthews Japan Fund (805) \$ _____
- Matthews Korea Fund (803) \$ _____

ASIA SMALL COMPANY STRATEGY

- Matthews Asia Small Companies Fund (810) \$ _____

ASIA SPECIALTY STRATEGY

- Matthews Asia Science and Technology Fund (806) \$ _____

Total Investment \$ _____

5 Investment Method

By check:

I have enclosed a check(s), payable to **Matthews Asia Funds**.

Note: The Funds do not accept third-party checks, temporary (or starter) checks, cash, credit card checks, traveler's checks, cashier's checks, official checks or money orders.

By wire: Federal Funds wired on _____ (month/day/year)

6 Distribution Options

All distributions will be reinvested unless otherwise indicated.

- Reinvest all dividends and capital gains.
- Pay all dividends to me by check and reinvest all capital gains.
- Pay all capital gains to me by check and reinvest all dividends.
- Pay all dividends and capital gains to me by check.

8 Online Account Access

This feature allows you to check your Matthews Asia account balance online, as well as make financial transactions with payment debited directly from your bank account.

I want the ability to check my account balance online.

I want the ability to make purchases, exchanges and redemptions over the Internet and have enclosed:

a voided check from my checking account

a deposit slip from my savings account

Note: It can take up to 10 business days to enable this feature.

For online access to your account: Once you receive confirmation of your initial investment—which will include your account number—simply go to **matthewsasia.com** and click on *Account Login*. You will be asked to enter your account number and Social Security Number or Employer Identification Number, and walked through a process to create a Login ID and password, which will provide you online access to your account.

Please make your personal check payable to **Matthews Asia Funds** and send, along with your completed, signed application, to:

By mail:

Matthews Asia Funds
P.O. Box 9791
Providence, RI 02940

By overnight delivery:

Matthews Asia Funds
101 Sabin Street
Pawtucket, RI
02860-1427
800-789-ASIA [2742]

9 Telephone and Online Exchange and Redemption

You **will** have the ability to exchange and redeem shares by telephone and online **unless** you check below:

- Please **remove** the **telephone and online exchange** feature from my account.
- Please **remove** the **telephone and online redemption** feature from my account.

Proceeds of telephone redemption requests are paid by check and mailed to the address of record unless a wire to your bank account of record is requested. **If you want redemption proceeds wired to your bank, you must enclose a voided check from your bank account.** Exchanges must be between identically registered accounts. See the prospectus for details.

10 Signatures and Certification

By signing at right, I certify that:

- ✿ I have received and read the prospectus for the Fund(s) in which I am investing and I agree to its terms.
- ✿ The information provided on this application is true, correct and complete. You may verify this information with others, including third-party credit reporting agencies and databases and U.S. and/or foreign government agencies, and if you are unable to verify my information, you are authorized to close my account by redeeming shares at the then-applicable net asset value.
- ✿ I understand that mutual fund shares are not deposits or obligations of, or guaranteed or endorsed by, any bank, and are not federally insured by the Federal Deposit Insurance Corporation, the Federal Reserve Board, or any other agency of the U.S. Government, and that an investment in mutual fund shares involves risks, including the possible loss of principal.
- ✿ If I have agreed to telephone exchange or redemption privileges, I authorize BNY Mellon Investment Servicing Inc. to honor telephone instructions for my account. Neither Matthews Asia Funds nor BNY Mellon Investment Servicing Inc. will be liable for properly acting upon telephone instructions believed to be genuine.
- ✿ If I have requested online account access or participation in the Automatic Investment Plan, I agree that if my ACH debit is not honored, BNY Mellon Investment Servicing Inc. reserves the right to discontinue this service and any share purchase made upon such deposit will be cancelled. I further agree that if the net asset value of shares purchased is less when said purchase is cancelled than when the purchase was made, BNY Mellon Investment Servicing Inc. shall be authorized to liquidate other assets or fractions thereof held in my account to make up the deficiency. The Automatic Investment Plan may be discontinued by BNY Mellon Investment Servicing Inc. upon 30 days written notice or at any time by the investor by written notice to BNY Mellon Investment Servicing Inc., which is received no later than five business days prior to the above-designated investment date.

I certify, under penalty of perjury, that:

- ✿ I am a U.S. Person.**
- ✿ The Social Security Number or Taxpayer Identification Number provided on this application is correct (or that I have applied for a Number and am waiting for it to be issued to me), and that I have not been notified by the IRS that I am subject to backup withholding.
- I have been notified by the IRS that I am subject to backup withholding.

The Internal Revenue Service does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.

X

Signature of Owner/Authorized Signer*

Date*

X

Signature of Joint Owner/Authorized Signer

Date

**A U.S. Person means: (a) any natural person residing in the United States (including resident aliens); (b) any partnership or corporation organized or incorporated under the laws of the United States; (c) any estate of which any executor or administrator is a U.S. person; (d) any trust of which any trustee is a U.S. person; (e) any agency or branch of a foreign entity located in the United States; (f) any non-discretionary account or similar account (other than an estate or trust) held by a dealer or other fiduciary for the benefit or account of a U.S. person; (g) any discretionary account or similar account (other than an estate or trust) held by a dealer or other fiduciary organized, incorporated, or (if an individual) resident in the United States; and (h) any partnership or corporation if (i) organized or incorporated under the laws of any foreign jurisdiction and (ii) formed by a U.S. person principally for the purpose of investing in securities not registered under the Act, unless it is organized or incorporated, and owned, by accredited investors (as defined in Rule 501(a) under the Act) who are not natural persons, estates or trusts. "United States" means the United States of America, its territories and possessions, any State of the United States and the District of Columbia.

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